

SCIP/LTIP

Infrastructure Funding

District 3
Franklin County, Ohio

Round 25 Application Workshop
June 23, 2010

SCIP/LTIP Infrastructure

District 3 - Franklin County

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District 3 - Franklin County

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Ohio Public Works Commission

65 East State Street, Suite 312

Columbus, Ohio 43015

Infrastructure Programs

SCIP (Issue 2)

State Capital Improvement Program

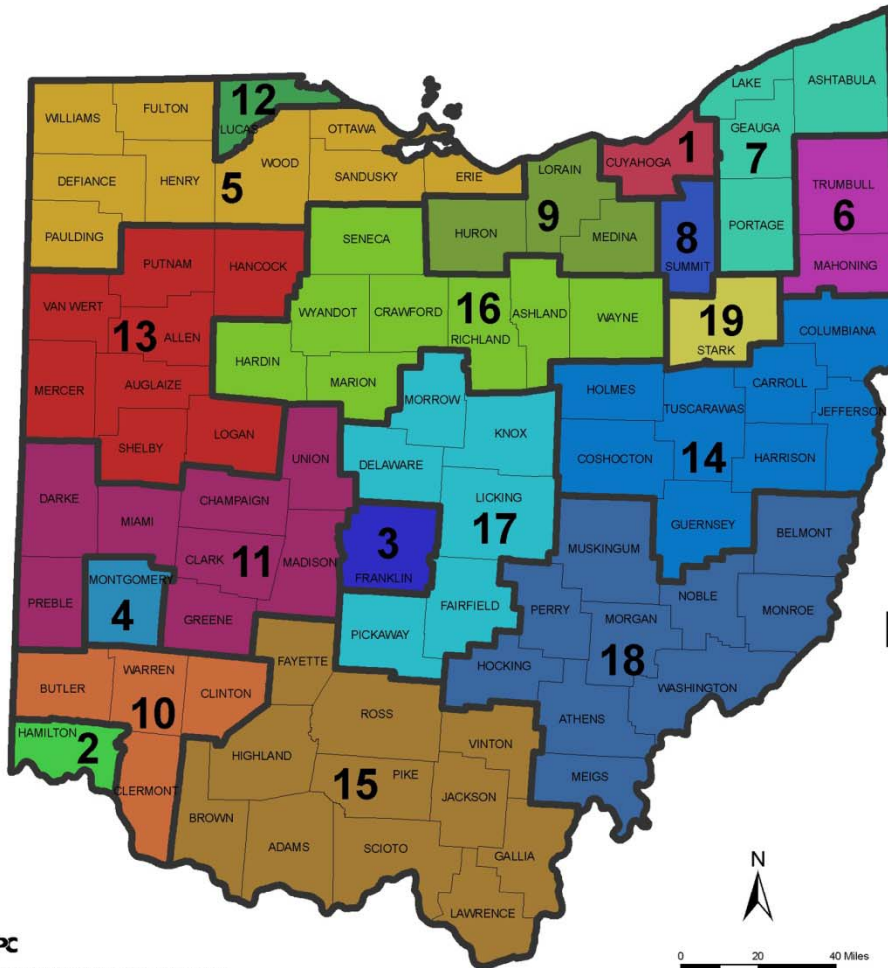
- Created in 1987
- Re-authorized in 1995 & 2005
- State uses its general revenues as debt support
- Issues up to \$120 million in bonds each year

LTIP

Local Transportation Improvement Program

- Created in 1989
- 1 cent per gallon of gasoline tax
- Provides about \$52 million in gasoline tax receipts each year

OPWC Districts



**OHIO
PUBLIC WORKS
COMMISSION**

19 PWIC DISTRICTS



The information shown on this map is compiled from various sources made available to us which we believe to be reliable. T:\opwc districts.mxd Oct 2006



District 3

Eligible applicants are:

- Franklin County
- 12 Cities (including city of Columbus)
- 13 Villages
- 17 Townships
- Water & Sewer Districts (Jefferson)

A project located in part of a subdivision that is located outside of Franklin County is deemed a part of the district in which the population is greatest

Public Works Integrating Committee (PWIC)

9 members appointed by:

- 2 Franklin County Commissioners
- 2 Central Ohio Municipal Council
- 2 Ohio Township Trustees Association
- 2 Mayor of City of Columbus
- 1 Private Sector appointed by 8 PWIC members

Members serve 3-year terms per ORC 164.04

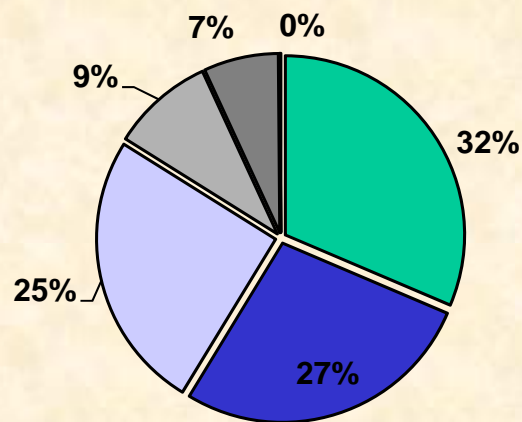
Public Works Integrating Committee

District 3 - Members

Dean Ringle, Chair	<i>FC Commissioners</i>
Marilyn Brown	<i>FC Commissioners</i>
Mark Kelsey, Vice Chair	<i>Columbus Mayor</i>
Boyce Safford III	<i>Columbus Mayor</i>
Clyde Seidle	<i>Central Ohio Municipal Council</i>
Karl Craven	<i>Central Ohio Municipal Council</i>
John Bryner	<i>Private Sector</i>
Ron Williams	<i>Township Trustees Association</i>
Jack Wilson	<i>Township Trustees Association</i>

District 3 Awards by Jurisdiction

Rounds 1-24



- Columbus
- Franklin County
- Other Cities
- Villages
- Townships
- Water & Sewer District

Columbus	= \$152 million
Franklin County	= \$132 million
Other Cities	= \$122 million
Villages	= \$ 45 million
Townships	= \$ 33 million

Water/Sewer District	= \$ 614,519
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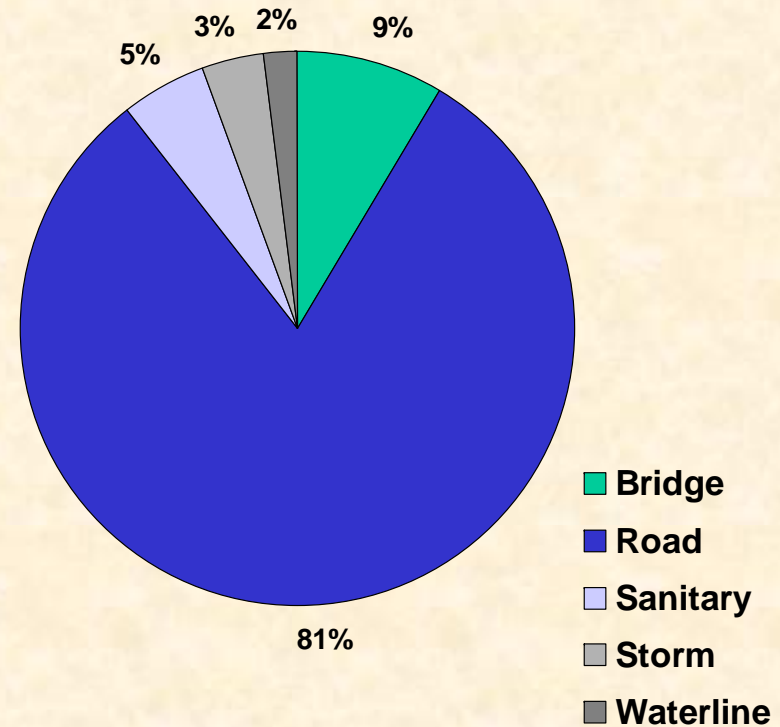
TOTAL \$485 million

District 3 Awards by Project Type

Rounds 1- 24

Roads	= \$ 392 million
Bridges	= \$ 41 million
Sanitary Sewers	= \$ 25 million
Storm Sewers	= \$ 17 million
Waterlines	= \$ 10 million

TOTAL \$ 485 million



District 3 Allocation

Round 25 - 2010

SCIP \$ 12,648,000

RLP \$ 2,688,000

LTIP \$ 5,013,000

TOTAL \$20,349,000

OHIO PUBLIC WORKS COMMISSION

STATE CAPITAL IMPROVEMENTS PROGRAM & LOCAL TRANSPORTATION IMPROVEMENT PROGRAM

**DISTRICT 3
ROUND 25 - PROGRAM YEAR 2011**

	SCIP	SCIP/RLP	LTIP	TOTAL
Source	Sale of Bonds	Revolving Loan Program	Gas Tax	
Allocation	\$12,648,000	\$ 2,688,000	\$5,013,000	\$20,349,000
Eligible Projects:	Roads/Bridges Sanitary & Storm Sewers Water Supply Waste Water Solid Waste	Roads/Bridges Sanitary & Storm Sewers Water Supply Waste Water Solid Waste	Roads/Bridges	
Local Match Required	10% - Repair/Replacement 50% - New/Expansion	No Match Required	No Match Required	
Award Types	Grant; Loans; Loan Assistance	Loan only	Grant only	
Statutory Requirements	20% max. new/exp = \$1,995,400 20% min. loans = \$1,995,400 Grant max = 7,981,600 Min. Useful Life 7 yrs.	 Min. Useful Life 7 yrs.	Set-asides for: TOWNSHIP SETASIDE = \$400,000 (Rounds 23-27) Townships* = 20.0% Cities/Villages = 42.8% County = 37.2% (Percent of one-third total LTIP over a 5 year period) Min. Useful life 7 yrs.	 Average Useful Life 20 yrs

Other SCIP Funds

Emergency Funds

- Preservation of health, safety, & welfare
- Maximum of \$5 million per year
- Contact Director Miller at OPWC

Small Government

- Communities with < 5,000 population
- \$12 million state-wide
- District submits up to 10 applications
- Small Government Commission makes recommendations to OPWC

Overview

- Township set-aside up to \$400,000 for LTIP
- Township road or bridge FC engineer certification (Part 4)
- Construction start date by May 31, 2012
- Loan interest rates: 0% road, bridge, storm
0% water, sanitary sewer
- Local match requirement: 10% repair/replace
50% new/expansion
- Minimum useful life of at least 7 years

SCIP/LTIP Schedule

Program Year 25 – 2011

2010

June 23

Application Workshop

September 7

Application Deadline

October-November

PWIC & Staff Review & Score Projects

December 3

Working Session

December 10

PWIC Final Session – recommend projects

2011

January

Submit Approved Projects to OPWC

July 1

Agreements Signed & Funds Available

Highlights of Program Policies

- *Water & sewer projects are loan only*
- *No engineering only projects*
- *No preliminary engineering costs or add'l engineering services*
- *Engineering cost - 25% for construction costs up to \$500,000 & 20% for project over \$500,000*
- *No time extensions*
- *Minimum local match required*
- *Failed septic – repair/replace*
- *Incidental safety items that improve safety are repair/replace*
- *No loans for less than \$50,000*

Penalty Points



Part 1 Submission Checklist (-10)

Part 2 Official OPWC Application (-4)

Part 3 Applicant Evaluation Criteria (-5)

Part 4 Engineer's Certifications

- ✓ *Detailed estimate with seal & signature (-5)*
- ✓ *Cost estimate/design service/useful life (-3)*
- ✓ *Project notification to FC engineer (township only) (-5)*

Part 5 Other Certifications

- ✓ *Funding use & local match availability (-1)*
- ✓ *Loan repayment (-1)*
- ✓ *Authorizing legislation (-1)*
- ✓ *Cooperation agreement (-2)*

Part 6 Map & Photos (-4)

Part 8 Five Year CIR/Maintenance of Effort (-5)

- ✓ *Two Year Effort is for 2009 & 2010*
- ✓ *Five Year Plan is for 2011 – 2015*

Application Parts

Part 1 – Submission Checklist

Part 2 – Official OPWC Application

Part 3 – Applicant Evaluation Criteria

Part 4 – Engineer's Certification

Part 5 – Required Certifications/Agreements

Part 6 – Maps & Photos

Part 7 – Supportive Documentation

Part 8 – Capital Improvement Report (CIR)

Part 9 – Crash Data Spreadsheet

Part 3

Project Evaluation Process

Based on:

- Application Information
 - ✓ Required by OPWC
 - ✓ Required by District 3
- Other Information such as census data and other public records

Evaluated separately for SCIP and LTIP funding.

Each criterion:

- Rated from 0 to 5 points
- Separate weight for the SCIP and the LTIP

Maximum score: SCIP: 380 LTIP: 355

Scoring

SCIP Points

Applicant = 240 (63%)

Staff = 140 (37%)

Total = 380

Repair/replace

LTIP Points

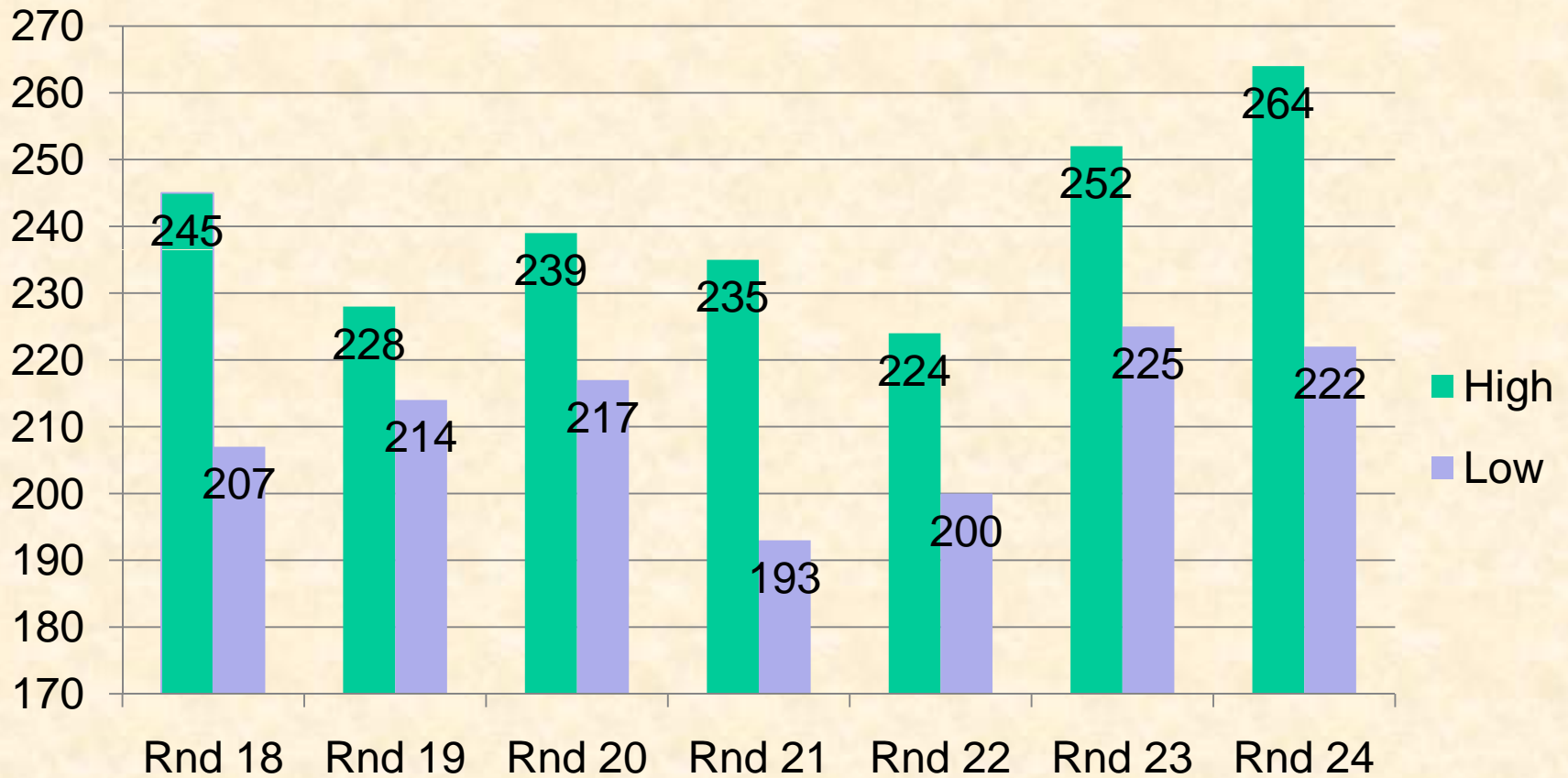
Applicant = 270 (76%)

Staff = 85 (24%)

Total = 355

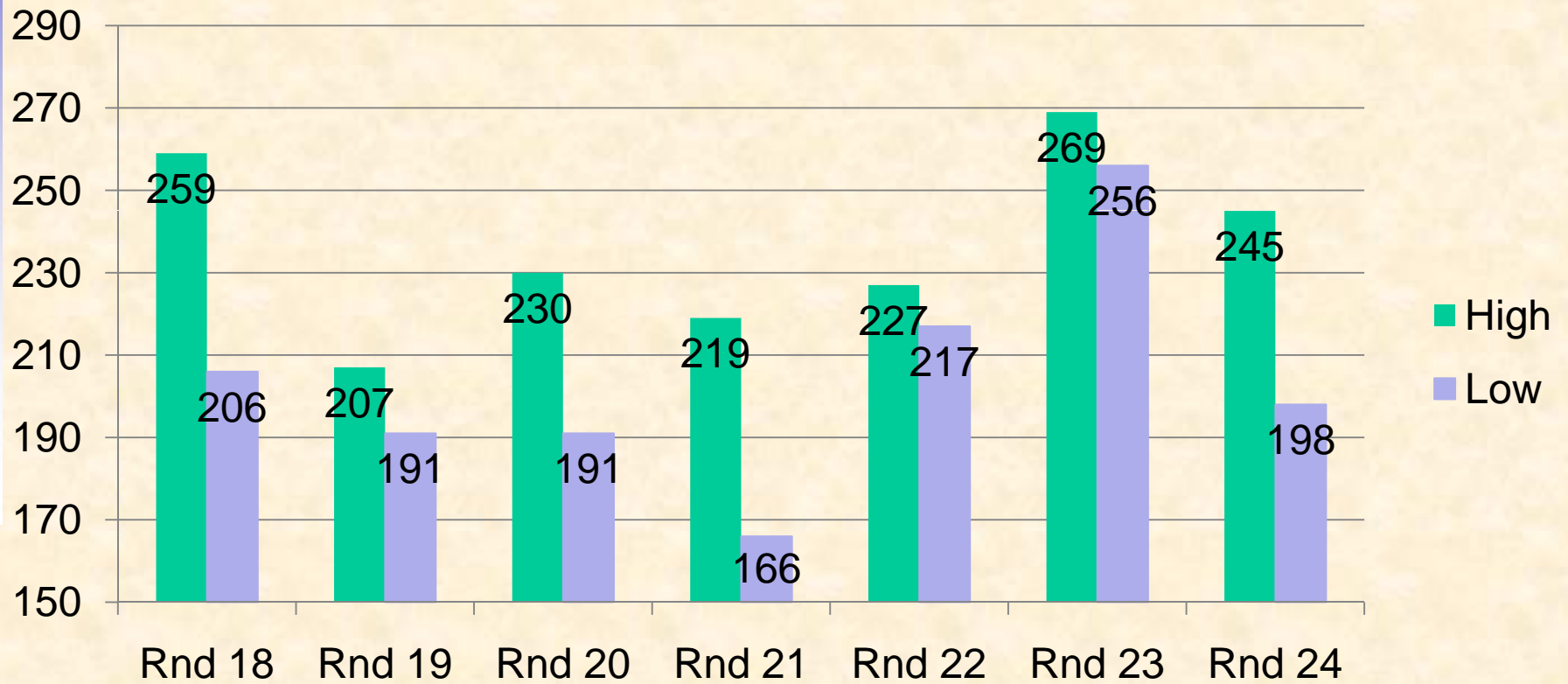
New/economic development

SCIP Points



Repair/replace

LTIP Points



New/economic development

Applicant Evaluation Criteria

SCIP

- Physical Condition (8)
- Crashes
- Public Safety
- Health Problems (5)
- Development
- Congestion
- Public Involvement
- Identified Need
- Service to District (6)
- Special Area
- Other
- Portion of Budget
- User Fees
- Older Suburbs
- Last Round Funded
- Compliance w/Ped & Bike Plan

LTIP

- Physical Condition (6)
- Crashes (6)
- Public Safety
- Health Problems
- Development
- Congestion (7)
- Public Involvement
- Identified Need
- Service to District (6)
- Special Area
- Other
- Portion of Budget
- User Fees
- Older Suburb
- Last Round Funded"
- Compliance w/Ped & Bike Plan

() weight

Project Description

D1-D7

- *Describe the existing infrastructure & what problems require this infrastructure be modified.*
- *Describe the proposed changes*
- *Will this fully correct the problem?*
- *Current status of project*
- *R-O-W acquisition?*
- *Utility relocation or railroad involved?*

Proposed Changes

D2. Please describe the proposed changes to the infrastructure.

Project Components:

	<u>Existing</u>	<u>Proposed</u>	<u>Comments</u>
ADA curb ramps	[]	[]	_____
Bike lanes	[]	[]	_____
Multi-use path	[]	[]	_____
Sidewalks	[]	[]	_____
Street Lighting	[]	[]	_____
Traffic signal	[]	[]	_____
Turn lanes	[]	[]	_____

Other (specify): _____

Physical Condition

A1a) What is the largest component of the project?

- | | | |
|-----------------|--------------|--------|
| -Road | -Waste water | |
| -Bridge/culvert | -Solid waste | -Other |
| -Water supply | -Storm water | |

- Year infrastructure was built
- Year & type of last major rehabilitation or maintenance was performed
- Meets current geometric standards
- Condition rating & source (ODOT BR-86, PCR, etc.)
- Estimated remaining years of useful life

Skip if your project is 100% new or expansion work

A1b) What is the “physical condition” of the portion of the infrastructure making up the largest component of the project to be replaced or rehabilitated?

<u>Points</u>	<u>Condition</u>	<u>Description</u>
5	Failed	requires <u>complete</u> reconstruction
4	Critical	requires <u>major</u> reconstruction
3	Poor	requires <u>partial</u> reconstruction
2	Fair	requires <u>minor</u> rehabilitation
1	Good	requires <u>routine</u> maintenance

A1c) Why did you select this physical condition?

Crashes

A2a) If there have been crashes among the users of the infrastructure within the past three years, complete the table below:

		<i>Crash Severity Types</i>			
<i>Year</i>	<i>Total # of Crashes</i>	<i>Total # of Fatalities</i>	<i>Total # of Injuries</i>	<i>Total # of PDO's</i>	<i>Total # of Unknown</i>
<i>2007</i>					
<i>2008</i>					
<i>2009</i>					
<i>Total</i>					

A2b) Explain how the proposed improvement will improve safety at this location.

Crashes

ODPS crash reports no longer required

Complete Excel Crash Data Spreadsheet in Part 9

- ✓ Data for 3 years (2007-2009)
- ✓ Local Report #
- ✓ N.C.I.C. #
- ✓ Date of Crash
- ✓ Crash Severity Type
- ✓ Include CD of crash data

Staff will analyze crashes and determine the average of 3 variables:

- ✓ Crash Frequency or Density
- ✓ Crash Rate
- ✓ Severity Index

Certification of Crash Data by local safety official – Part 5 – Form 8

Public Safety/Public Health

A3) *Has public safety been affected by the condition of the infrastructure?*

Describe the unsafe condition.

Has the route been closed because of infrastructure failure?

For how long? How often?

 [Documentation Required in Part 7](#)

A4) *Is the condition of the infrastructure such that a public health problem has occurred? Explain.*

 [Documentation Required in Part 7](#)

Job Creation or Retention

A5a) *Is this infrastructure improvement necessary to secure a particular commercial development or redevelopment?*

If yes, why it is necessary to provide this improvement to secure this development?

- ***Name of the development /type of industry***
- ***# of permanent jobs retained or new to District***
- ***No credit for retail or residential development***
Or
Jobs relocated from one part of District 3 to another



Documentation Required in Part 7

Economic Development District

A5b) Does this project serve a geographically targeted federal, state or local district that supports the creation or retention of jobs?

Name & type of special district

- *Enterprise Zone*
- *Empowerment Zone*
- *Brownfield Site*



Documentation Required in Part 7

Congestion

A6) Is the road or bridge or a parallel facility this project would relieve currently or forecasted to be congested?

What is the current and/or forecasted volume of traffic and level of service?

	Year	ADT	LOS w/improvement	LOS w/o improvement
Current year				
Opening day plus 20 years				

---Average Daily Traffic-----

<u>Pts</u>	<u>Hi >20K</u>	<u>Med 8-20K</u>	<u>Low <8K</u>	# of Levels of Service Improved
0	-	-	-	
1	-	-	1	
2	-	1	-	
3	1	-	≥ 2	
4	-	≥ 2	-	
5	≥ 2	-	-	

No credit for improving beyond LOS C



Documentation Required in Part 7

Public Involvement

A7) How has the project applicant involved those residents, businesses and others affected by the proposed project?

Requests or complaints received?

Has a public meeting been held to inform citizens?

- *Flyers of meeting*
- *Written complaints*
- *Newspaper articles*



Documentation Required in Part 7

Recognized Need

A8) How has the applicant identified this project as a need?

Has the applicant invested any money in advancing this project, for example by executing a design contract?

What priority is this project among the applicant's other priorities?

- CIP or TIP process
- Comprehensive Plan
- Area Plan
- Inventory Management system
- Adopted community plan

Service to the District

A9) What portion of Franklin County benefits from this improvement? How many will benefit from this project?

Road & bridge project:

ADT vehicles-per-day _____

Waterlines, sanitary sewer, solid waste project:

of residents and employees _____

of houses and businesses _____

Storm sewer project:

Tributary drainage area in acres _____

Handicap ramps & curb project:

of pedestrians served per day _____

Area with Special Conditions

A10) *Is the project in an area with special conditions?*

- ***Regionally significant facility***
- ***Public housing site or CDBG-designated low-income area***
- ***Access for the disabled***
- ***COTA route (if a highway project)***
- ***Historic district - must be federal or state approved***
- ***Tie-in with other improvements***
- ***Brownfield site***
- ***Directly serves a community or institutional facility.
(500+ people per day for at least 5 days)***

Other Information

A11) What other information should the District 3 Committee know about this project?

Unusual/unique and relevant material such as,

- *Applicant has applied for, but not received funds*
- *# of users and benefits of the project compared to cost*
- *Innovative construction techniques*
- *Creates a connection to existing or proposed regional pedestrian or bike routes.*

Ability & Effort to Finance

A12) *Is the total project cost more than 50% of a subdivision's total general fund plus any other funds that can be used for this type of infrastructure?*

Submit copy of annual tax budget for year beginning 1/1/2011

- *County Auditor's Form #622 – cities & villages*
- *County Auditor's Form # 32 - townships*

Highlight portions of budget eligible to fund this project



Documentation Required in Part 7

Special Tax or Fee

A13) Has the applicant enacted any specific tax or fee such as the optional motor vehicle license fee, a storm water management fee, income tax or a property tax devoted to infrastructure?

- *Motor vehicle license fee – no documentation needed*
- *Storm water management fee*
- *Property tax devoted to roads*
- *TIF Revenue*
- *Other*



Documentation Required in Part 7

Older Land Locked Suburbs

A14) *Is this project within an “older land locked suburb” and will repair or replace aging infrastructure?*

“Older Land Locked Suburb” is a municipal corporation with a boundary that has been primarily fixed for 30 years and for which no substantial opportunity exists for further expansion.

Bexley
Grandview
Marble Cliff
Minerva Park

Riverlea
Whitehall
Worthington
Upper Arlington

“Aging Infrastructure” is infrastructure that is past its typical useful life. (As outlined under Staff question S6.)



[Documentation Required in Part 7](#)

Last Round Funded

A15) In what round or year did the applicant last receive any form of OPWC funding?

Years with no funding

1-2 years	= 0 points
3-4 years	= 1 point
5-6 years	= 3 points
7 or > years	= 5 points

Compliance with Pedestrian & Bike Plans

A16) Does the proposed project comply with the applicant's bicycle plans and policies or with MORPC's plans if no local plan exists?

1 Point

- Adding pedestrian & bike accommodations to at least 50% of the project area

2 Points

- Adding pedestrian & bike accommodations to at least 75% of the project area

5 Points

- Not a road or bridge project
- Plan doesn't call for pedestrian or bike accommodations
- Pedestrian or bike accommodations already exists/would be cost prohibitive



Documentation Required in Part 7

Staff Evaluation Criteria

SCIP

- Local Share
- Other Funds (4)
- Loan (8)
- Economic Condition (5)
- Road Commitment

- Percent Expansion
- Project Type
- Construction Start

- Financial Partnership
- Amount of OPWC Request

LTIP

- Local Share (2)
- Other Funds (8)

- Road Commitment
- Useful Life

- Construction Start
- Township Bonus (2)
- Financial Partnership
- Amount of OPWC Request

() weight

Staff Evaluation Criteria

(See Sample Application Documents)

S1) Local share

- Calculate repair/replace & new/expansion to determine minimum local share match
 - 10% match for repair/replace
 - 50% match for new/expansion
- Loan money is included from S3
- Points given for amount over minimum match

S2) Other share (private, state, federal)

- Applications attracting funding from other agencies earn more points!
- Points given only if “required local match” was already met in S1

Staff Evaluation Criteria

(See Sample Application Documents)

S3) OPWC Loan Request

*Points given for OPWC loans no less than \$50,000
or 100% of OPWC request*

- *25 % or < of OPWC funds requested = 0 points*
- *25.1-75% of OPWC funds requested = 3 points*
- *75.1%+ of OPWC funds requested = 5 points*

Typical Useful Lives

S6) Useful Life

If useful life of any component exceeds the typical useful life outlined below, the applicant must provide additional supportive documentation to substantiate the longer useful life.

<u>Infrastructure Component</u>	<u>Typical Useful Life</u>
Full-depth road construction	25 years
Less than full-depth replacement	15 years
Bridges	75 years
Storm Sewer	40 years
Electrical traffic control & lighting	12 years
Sanitary Sewers	40 years
Water Lines	40 years
Pump, lift station, equipment	15 years

Construction Start/Open Projects

S10) Project Construction Start Date/Delinquent Projects

Project construction start date must be on or before March 31, 2012

AND

Applicant has 0 delinquent projects = 5 points

Applicant has 1 delinquent project = 3 points

Applicant has 2 or more delinquent projects = 0 points

What is a delinquent project?

A project is delinquent when any of the following conditions are met:

- ***Any project from **Rounds 21,22,23** has not commenced construction* by June 1 of the year following the award.***

AND/OR

- ***Any project from **Round 20 or earlier** has not been closed out with OPWC. Closed out means final disbursement has been requested and the appropriate paperwork submitted to OPWC.***

OPWC will not consider a project with a construction start date after June 1, 2012. This project would be ineligible for the current round.

**** OPWC Notice to Proceed***

Joint Financial Partnership

S12) Is this a “joint financial partnership” project?

- ***With a cooperation agreement***
- ***Cost participation by each partner is at least the greater of:***
 - ***10% of the required local match or 1% of total project cost***

Scoring

2 or more local entities = 3 points

3 or more local entities = 5 points

Amount of OPWC Funds Requested

S13) What is the total amount of OPWC funds requested?

<u>SCIP points</u>	<u>Amount Requested</u>
0	\$5,000,000 <
1	\$2,000,000 <=\$5,000,000
3	\$1,000,000 <=\$2,000,000
5	<=\$1,000,000

<u>LTIP points</u>	<u>Amount Requested</u>
0	\$3,500,000 <
3	\$1,000,000 <=\$3,500,000
5	<=\$1,000,000

Part 8

CAPITAL IMPROVEMENT REPORT

What is Required?



You will need to file a Capital Improvements Report. We require a summary form and a five year plan/two year maintenance form. These are available on-line. OPWC can assist you in completing these forms.

Capital Improvement Report

1. Remember to provide a contact name and phone number.
2. Remember to provide a subdivision code and be sure you are using the right one. (If you do not know your subdivision code call and we will give it to you.)
3. The current year and the previous year are the two year maintenance of effort (project the remainder of the current year). And the five year plan is next year forward.



Capital Improvement Report

4. Please remember to update the summary form information.
5. Please supply complete summary form information.
6. Remember that Counties DO have water and sewer.
7. Remember that Townships DO NOT have bridges.



Capital Improvement Report

8. Please use your five year plan to determine repair costs.
9. Please be realistic with road replacement costs.
10. Unknown conditions are only to be used for infrastructure components that are under ground and not visually accessible.



CIR SUMMARY FORM

Ohio Public Works Commission
 SUMMARY FORM
REQUIRED
 Submit to Commission/Update Annually

Subdivision		Code		County		Date			
Infrastructure Component	Replacement Cost	Repair Cost	Total Units	Units/Physical Condition					
				Excellent	Good	Fair	Poor	Other	Unknown
Roads			Center Line Miles						
Bridges			Number of Bridges						
Culverts			Number of Culverts						
Water Supply Systems			Number of Facilities						
Water Distribution			Linear Feet (Feet/1000)						
Water User Systems			Number of Facilities						
Wastewater Collection			Linear Feet (Feet/1000)						
Stormwater Collection			Linear Feet (Feet/1000)						
Sanitary Land Disposal			Capacity (Tons per Day)						
Total									

Subdivision Socio-Economic Characteristics

Current		2000 Census Information		
Population		Population TOTAL		% LMI
Total Households		Households		% Poorly
% Unemployment		LMI		% Unemploy

Preparer's Name, Phone Number, email:

Subdivision Socio-Economic Characteristics available

http://www.morpc.org/pdf/opwc_dist3app_part8_socioeconomic.pdf

CIR Assistance

- **David Bussard – OPWC**

Office: 614-644-1891

Fax: 614-466-4664

Email: david.bussard@pwc.state.oh.us

- Also see:

<http://www.pwc.state.oh.us/cir.htm>

Part 9

Crash Data Spreadsheet

Part 9 Excel Crash Data Spreadsheet
for use with Applicant Evaluation Criteria A2a

(Project Name)

#	Local Report Number	Date of Crash	NCIC Number	Fatality	Injury	PDO	Unknown
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13							
14							
15							
16							
17							
18							
19							
20							
21							
22							
23							
24							
25							

Other Sources

District 3 Application Information

www.morpc.org – see Transportation tab, "Funding & Grants"

Ohio Public Works Commission

www.pwc.state.oh.us

Other Sources of Funds

CDBG Funds

F.C. Community & Economic Development Department

www.franklincountyohio.gov/commissioners/development/

OWDA Funds

Ohio Water Development Authority

www.owda.org

ODOT Administered Funds

Bridge, STP, etc.

www.dot.state.oh.us

STP, CM/AQ, Enhancement Funds (allocated by MORPC)

QUESTIONS

